

Meeting of ICB Board

Date: Thursday 3 July 2025

Time: 12:45 – 15:35

Location: Virtual, via Microsoft Teams

Agenda Number:	6.1	
Title:	Corporate Risk Register and ICS Strategic Risk Register	
Confidential Papers	Commercially Sensitive	No
	Legally Sensitive	No
	Contains Patient Identifiable data	No
	Financially Sensitive	No
	Time Sensitive – not for public release at this time	No
	Other (Please state)	No
Purpose: Decision/Discussion/For Information		
Key Points for Discussion:		
<u>Corporate Risk Register</u> <p>The CRR is collated from directorate risk registers and include risks scoring 15 and above. ICB executives sign off directorate risk registers before the CRR is compiled. The latest version of the CRR is annexed to this report. The CRR was reviewed by the Audit and Risk Committee (ARC) at its meeting on 19 June.</p> <p>De-escalated risks will continue to be managed by Executives as part of the directorate risk register management arrangements</p>		
<u>ICS Strategic Risk Register</u> <p>The ICS strategic risk register (SRR) is overseen by the System Executive Group (SEG). ICB executives have been identified as risk owners and contributed updates to the register. The version annexed to this report has been approved by SEG and ARC for review by ICB Board.</p>		

Recommendations:	It is recommended that the ICB Board: <ul style="list-style-type: none"> • Receive the attached CRR and note the details • Accept the risks escalated to the CRR and approve the closure/de-escalation of risks from the CRR where indicated. • Receive the ICS Strategic Risk Register and note the details
Previously Considered By and feedback:	The Directorate Risk Registers contributing to the CRR have been reviewed by ICB Directors. SEG has reviewed the ICS SRR before submission to ARC at the meeting on 13 June. ARC reviewed both risk registers on 19 June
Management of Declared Interest:	Not applicable to this report.
Risk and Assurance:	The management of our CRR is described in our Risk Management Framework, which also reflects the role of the SEG.
Patient and Public Involvement:	Not applicable to this report.
Financial / Resource Implications:	The CRR and SRR will be subject to ongoing review by ICB Executive Leadership Team in advance of future submissions.
Legal, Procurement, Policy and Regulatory Requirements:	The ICB is expected to have arrangements in place for the identification and mitigation of risk. This report supports the execution of these arrangements which are governed by the Risk Management Framework.
How does this impact on health inequalities, equality and diversity and population health?	No health inequalities issues arising as a result of this report, and there is no impact upon people with protected characteristics.
ICS Green Plan and the Carbon Net Zero target?	Not applicable to this report.
Communications and Engagement:	This report has not involved any external communications or engagement.
Author(s):	Rob Hayday, Chief of Staff
Sponsoring Director:	Shane Devlin, Chief Executive Officer

Annexes

Annex 1 – Corporate Risk Register

Annex 2– ICS Strategic Risk Register

Annex 1 – Executive assurance to support management actions associated with internal audit report on risk management

The table below sets out the confirmation received from executives about the activities associated with the internal audit report

#	Activity	Directorate						
		CMO	CNO	OCCE	B&P	P&D	T&D	CPO
1	Controls listed on directorate risk registers are suitably detailed and inform the reader of exactly how they operating to reduce the associated risk	Yes	Yes	Yes	Yes	Yes	Yes	Yes
2	Up to date sources of assurance are listed including detail of the relevant oversight committee; and if there are currently no sources of assurance, these are recorded in the 'actions to be taken' section to identify/implement a source of assurance moving forward	Yes	Yes	Yes	Yes	Yes	Yes	Yes
3	Risk owners have examined their risks and completed any missing fields to ensure all data is up to date and accurate	Yes	Yes	Yes	Yes	Yes	Yes	Yes
4	Approval of the May Corporate Risk register being a reflection of risks scoring 15 form your directorate risk register.	Yes	Yes	Yes	Yes	Yes	Yes	Yes
5	Confirmation that committee chairs have been briefed on risks affecting committee business and agendas are set accordingly.	Yes	Yes	Yes	Yes	Yes	Yes	Yes

Risk leads were briefed at their meeting on 24 April on the activities required by the internal audit.

The actions required by the internal audit report are:

Ref	Action	Priority	Responsible Owner(s)	Date
1	<p>For both the ICB Corporate and ICS Strategic Risk Registers, each risk owner will review their risks and ensure that the controls listed are suitably detailed and inform the reader of exactly how they are operating to reduce the associated risk.</p> <p>For the Corporate Risk Register which is produced by the Corporate team using directorate risk registers, this action can be satisfied by checking that directorate risk registers contain all relevant information – note Management Action below for more detail.</p> <p>At the June 2025 meeting, the results will be presented to the Audit and Risk Committee for approval to ensure accountability and timely action.</p>	Medium	Risk owners with oversight from the Chief of Staff	20 June 2025
2	<p>Risk owners will examine their risks on both the ICB Corporate and ICS Strategic Risk Registers and ensure that either:</p> <ul style="list-style-type: none"> up-to-date and accurate sources of assurance are listed, including detail of the relevant oversight committee; or if there are currently no relevant sources of assurance, this will be recorded in the 'actions to be taken' section to identify / implement a source of assurance moving forward. <p>At the June 2025 meeting, the results will be presented to the Audit and Risk Committee for approval to ensure accountability and timely action.</p>	Medium	Risk owners with oversight from the Chief of Staff	20 June 2025
3	<p>Risk owners will examine their risks on each Directorate Risk Register and complete any missing fields to ensure all data is up-to-date and accurate. At the June 2025 meeting, the results will be presented to the Audit and Risk Committee for approval to ensure accountability and timely action.</p>	Medium	Risk owners with oversight from the Chief of Staff	20 June 2025
4	<p>Directorate Leads will nominate an appointed deputy to complete a reconciliation exercise between the ICB Corporate Risk Register and their respective directorate risk registers prior to finalisation of future Audit and Risk Committee papers.</p>	Medium	Chief of Staff	30 April 2025

Ref	Action	Priority	Responsible Owner(s)	Date
	<p>Once completed, email approval will be required by Directorate Leads to confirm the accuracy of the Corporate Risk Register to be reported to the next Audit and Risk Committee. The Chief of Staff will communicate this responsibility to Directorate Leads. The record of approval will be included in the risk paper presented to the Audit and Risk Committee by the Chief of Staff allowing for transparency of completion.</p>			
5	<p>ICB Executives will ensure that committee chairs are briefed on the risks associated with the committees' business and meeting agendas are set accordingly. Executives will also ensure that reports to committees note the associated risks.</p> <p>The Risk Management Framework will be adjusted to reflect this executive responsibility.</p>	Medium	<p>Sub-committee Chairs with oversight from Executives</p> <p>Chief of Staff</p>	30 June 2025

Bristol North Somerset and South Gloucestershire Integrated Care Board Risk Register

Risk is assessed by multiplying the likelihood of a risk materializing by the impact of a materializing such that the risk assessment matrix is not in the Global Risk Management Framework. Risk is assessed by multiplying the likelihood of a risk materializing by the impact of a materializing such that the risk assessment matrix is not in the Global Risk Management Framework. Risk is assessed by multiplying the likelihood of a risk materializing by the impact of a materializing such that the risk assessment matrix is not in the Global Risk Management Framework. Risk is assessed by multiplying the likelihood of a risk materializing by the impact of a materializing such that the risk assessment matrix is not in the Global Risk Management Framework.

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Year	Project ID	Project Name	Project Description	Project Status	Project Type	Project Category	Project Sub-category	Project Phase	Project Start Date	Project End Date	Project Duration	Project Budget (USD)	Project Funding Source	Project Lead	Project Manager	Project Coordinator	Project Sponsor	Project Stakeholders	Project Impact	Project Risk	Project Notes
2023	001	Project Alpha	Project Alpha Description	Completed	Infrastructure	Transportation	Highway Construction	Phase 1: Planning	2023-01-01	2023-12-31	12 months	1000000	Government	John Doe	Jane Smith	Mike Johnson	Bob Brown	Local Residents, Government, Contractors	Improved road network, reduced travel time	Low	Project completed successfully, all milestones met.
2023	002	Project Beta	Project Beta Description	In Progress	Infrastructure	Transportation	Highway Construction	Phase 2: Construction	2023-03-01	2024-02-28	12 months	1500000	Government	John Doe	Jane Smith	Mike Johnson	Bob Brown	Local Residents, Government, Contractors	Improved road network, reduced travel time	Medium	Project is progressing well, some delays in material supply.
2023	003	Project Gamma	Project Gamma Description	On Hold	Infrastructure	Transportation	Highway Construction	Phase 3: Maintenance	2023-06-01	2024-05-31	12 months	800000	Government	John Doe	Jane Smith	Mike Johnson	Bob Brown	Local Residents, Government, Contractors	Improved road network, reduced travel time	Low	Project is on hold due to budget constraints.
2023	004	Project Delta	Project Delta Description	Completed	Infrastructure	Transportation	Highway Construction	Phase 4: Completion	2023-09-01	2023-11-30	3 months	200000	Government	John Doe	Jane Smith	Mike Johnson	Bob Brown	Local Residents, Government, Contractors	Improved road network, reduced travel time	Low	Project completed successfully, all milestones met.
2023	005	Project Epsilon	Project Epsilon Description	In Progress	Infrastructure	Transportation	Highway Construction	Phase 5: Evaluation	2023-12-01	2024-01-31	2 months	100000	Government	John Doe	Jane Smith	Mike Johnson	Bob Brown	Local Residents, Government, Contractors	Improved road network, reduced travel time	Low	Project is in progress, final evaluation phase.

